



## RISK MANAGEMENT IMPLEMENTATION PLAN

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**COMPILED BY:** MS R MOLOI AND MR S NKONGOANE  
**REVIEWED BY:** MS T.B NTSHANGASE

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**ACTIVE DATE:**

DATE OF NEXT REVIEW	DATE REVIEWED	REVIEWED BY	ACTION
31/ 03/ 2016	13 / 03/ 2016	MS T.B NTSHANGASE	REVIEWED
31/ 03/ 2017			

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## **1. PURPOSE**

The Risk Management Implementation Plan articulates how risk management activities will be carried out in the Department.

## **2. OBJECTIVE**

The primary objective of risk management implementation plan is to facilitate the execution of risk management. The risk management implementation plan for the Department of Human Settlements is prepared to give effect the implementation of the risk management policy, strategy and the public sector risk management framework and outlines all risk management activities planned for the 2017/2018 financial year.

## **3. APPROACH**

The development of the Risk Management Implementation Plan has taken into consideration the following:

- The Risk Management Policy
- The Risk Management Strategy
- Public Sector Risk Management Framework
- Available Risk Management Capacity and Tools
- Urgency, Decision Making and Sustainability by the Department and
- Contributions made by the Assurance Providers.

## **4. DETAILED RISK MANAGEMENT IMPLEMENTATION PLAN**

The detailed Risk Management Implementation Plan is included below to facilitate the operational plan of the Risk Management activities within the Department.

No.	Activity	Objectives	Outcome	Responsibility	Date	Progress to Date Activity Completed: √ In Progress : -- Not Completed : X
<b>CREATION OF ENABLING ENVIRONMENT</b>						
1.	Development and Review of the following documents : <ul style="list-style-type: none"> <li>• Risk Management (RM) Strategy</li> <li>• Risk Management Policy</li> <li>• Risk Management Implementation Plan</li> <li>• Risk Management Committee Charter</li> </ul>	Formalize the Risk Management stance and how Risk Management is going to be implemented.	Approved Risk Management (RM) documents	Risk Manager / Risk Management Committee	30 June 2017	
2.	Publication of the Risk Management Policy to all Risk Management stakeholders	Outline the Departments commitment to protect the Department against adverse outcome	Communication of the Risk Management policy to all officials in the Department	Risk Management Unit and Risk Manager after approval of the document by the Head of Department (HOD)	30 June 2017	
3.	Establish a Risk Management Committee and Risk Champions	Provide Guidance, Direction and oversight on Risk Management	Establish a Risk Management Committee, with approved Terms of Reference (ToR)	Risk Manager	30 June 2017	
4.	Improve Risk Management training awareness	Create and Sustain a risk based culture through induction of new officials with the assistance of Skills Development Unit	Increase level of maturity	Risk Management Unit and Risk Manager	Bi-Annually	

**RISK MANAGEMENT IMPLEMENTATION PLAN 2017/2018**

<b>No.</b>	<b>Activity</b>	<b>Objectives</b>	<b>Outcome</b>	<b>Responsibility</b>	<b>Date</b>
<b>RISK IDENTIFICATION, ASSESSMENT and MITIGATION</b>					
<b>5.</b>	Current year risk assessment or Prior year risk assessment review including mitigation strategies	Identify risks linked to strategic objectives and assess accordingly	2017/2018 Risk Register	Risk Management Unit, Risk Manager and Management	30 August 2017
<b>RISK ACTION PLAN</b>					
<b>6.</b>	Identification of Action Plan	Action Plans to mitigate risks	2017/2018 Risk Register	Risk Management Unit, Risk Manager, Management and relevant officials	31 October 2017
<b>MONITORING ACTIVITIES</b>					
<b>7.</b>	Monitoring of Action Plans / Mitigation Strategies i.r.o. Implementation effectiveness and adequacy	Ensure implementation of Risk Mitigation Strategies	Implement the Risk Mitigation Strategies	Identified Risk Owners	31 October 2017


<b>No.</b>	<b>Activity</b>	<b>Objectives</b>	<b>Outcome</b>	<b>Responsibility</b>	<b>Date</b>
<b>RISK OVERSIGHT ACTIVITIES</b>					
<b>8.</b>	Risk Management Unit/ Risk Management Committee	Progress on the Implementation of Risk Management	Status Reports outlining implementation status on the following: <ul style="list-style-type: none"> <li>• Risk Management</li> <li>• Risk Mitigation Strategies to manage the identified risks</li> </ul>	Risk Manager	Quarterly
			Report on the status of the Risk Management implementation plan to the Risk Committee	Risk Manager	Quarterly
			Providing the Head of Department (HOD) and Audit Committee with relevant oversight reports (Inclusive of committee resolutions and recommendations)		

**2017/2018 Risk Management Implementation Plan**

**Review of the Risk Management Implementation Plan**

The Risk Management Implementation Plan will be reviewed on an annual basis.

**SUBMITTED BY:**

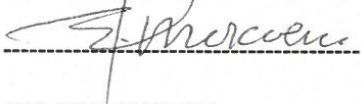
  
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**Ms TB NTSHANGASE**

**RISK MANAGER**

**DATE:** 15/06/2017  
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**RECOMMENDED / NOT RECOMMENDED**

  
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**MR. TS MOKOENA**

**CHIEF DIRECTOR: CORPORATE SERVICES**

**DATE:** 15/06/2017  
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**RECOMMENDED / NOT RECOMMENDED**

  
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**Dr. CD Olivier**

**Risk Management Committee:Chairperson**

**DATE:** 15/06/2017  
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**APPROVED / NOT APPROVED**

  
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**MR. N MOKHESI**

**HEAD: HUMAN SETTLEMENTS**

**DATE:** 17/7/17  
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